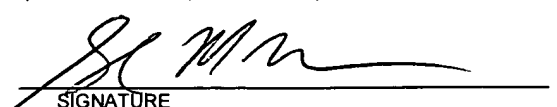


| | | |
|---|---|---|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER PC10552US |
| INTERNATIONAL APPLICATION NO. PCT/EP03/11571 | INTERNATIONAL FILING DATE 17 October 2003 (17.10.03) | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/532,450 |
| TITLE OF INVENTION METHOD AND DEVICE FOR TRANSMITTING SAFETY-CRITICAL OPERATING CONDITIONS OF A MOTOR VEHICLE | | PRIORITY DATE CLAIMED 24 October 2002 (24.10.02) |
| APPLICANT(S) FOR DO/EO/US Christof MARON | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | |
| 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | |
| 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | |
| 4. <input type="checkbox"/> The US has been elected (Article 31). | | |
| 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). | | |
| 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). | | |
| 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. | | |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | |
| 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | |
| 13. <input type="checkbox"/> A preliminary amendment. | | |
| 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. | | |
| 15. <input type="checkbox"/> A substitute specification. | | |
| 16. <input type="checkbox"/> A power of attorney and/or change of address letter. | | |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | |
| 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | |
| 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | |
| 20. <input checked="" type="checkbox"/> Other items or information: Notification of Missing Requirements Under 35 USC 371, Certificate of Mailing By Express Mail | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | | | | | | | | | |
|--|--------------|---|------|--|--------------|---|------|--|--|--|--|--------------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/532,450 | | INTERNATIONAL APPLICATION NO. PCT/EP03/11571 | | ATTORNEY'S DOCKET NUMBER PC10552US | | | | | | | | | |
| The following fees have been submitted | | | | CALCULATIONS PTO USE ONLY | | | | | | | | | |
| 21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 | | | | \$ \$ \$ \$ \$ | | | | | | | | | |
| 22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... .. \$0 All other situations..... \$200 | | | | | | | | | | | | | |
| 23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... .. \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB \$400 All other situations..... \$500 | | | | | | | | | | | | | |
| TOTAL OF 21, 22 and 23 = | | | | | | | | | | | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table style="width: 100%; border: none;"> <tr> <td style="text-align: right;">Total Sheets</td> <td style="text-align: right;">Extra Sheets</td> <td style="text-align: right;">Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td style="text-align: right;">RATE</td> </tr> <tr> <td colspan="4">- 100 = /50 = x \$250</td> </tr> </table> | | | | Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | - 100 = /50 = x \$250 | | | | \$ \$ | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | | | | | | | | | |
| - 100 = /50 = x \$250 | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | | | | | | |
| CLAIMS | | NUMBER FILED | | NUMBER EXTRA | | | | | | | | | |
| Total claims | | - 20 = | | x \$ 50 | | | | | | | | | |
| Independent claims | | - 3 = | | x \$200 | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$360 | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | | | | | | | | | |
| SUBTOTAL = | | | | \$ | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | + | | | | | | | | | |
| TOTAL NATIONAL FEE = | | | | \$ | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$ 130 | | | | | | | | | |
| 10/31/2005 ATRAN1 00000107 10532450 01 FC:161Z 130.00 ND | | | | Amount to be refunded: | | | | | | | | | |
| | | | | \$ | | | | | | | | | |
| | | | | Amount to be charged: | | | | | | | | | |
| | | | | \$ 130 | | | | | | | | | |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Customer Number 23122 | | | | | | | | | | | | | |
| OR | | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482 <input type="checkbox"/> RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899 | | | | | | | | | | | | | |
| Date : October 26, 2005 | | | |  SIGNATURE Glenn M. Massina NAME 40,081 REGISTRATION NUMBER | | | | | | | | | |

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 12

| | |
|----------------------|----------------|
| Application Number | 10/532,450 |
| Filing Date | Herewith |
| First Named Inventor | Christof Maron |
| Art Unit | To Be Assigned |
| Examiner Name | To Be Assigned |
| Attorney Docket No. | PC10552US |

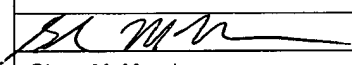
ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
- ☐ Amendment/Reply
☐ After Final
☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

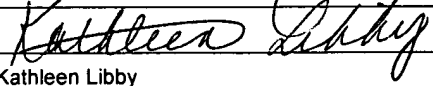
- ☐ After Allowance Communication
to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below): PTO-1390 (in
duplicate); Dec/Poa; PTO-2038;
Formalities Letter; Certificate of
Mailing by Express Mail and
Return Post Card

Remarks:**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

| | | | |
|--------------|---|------------------|--------|
| Firm Name | RatnerPrestia | | |
| Signature |  | | |
| Printed Name | Glenn M. Massina | | |
| Date | October 26, 2005 | Registration No. | 40,081 |

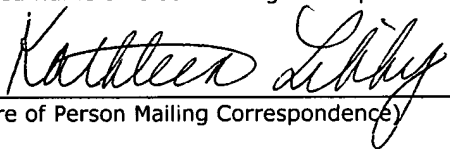
CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|---|------|------------------|
| Signature |  | | |
| Typed or Printed Name | Kathleen Libby | Date | October 26, 2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| | | | |
|---|--------------------------------|-----------------------------------|---|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant: Christof Maron | | | Docket No. PC10552US |
| Application No. 10/532,450 | Filing Date Herewith | Examiner To Be Assigned | Group Art Unit To Be Assigned |
| Invention: METHOD AND DEVICE FOR TRANSMITTING SAFETY-CRITICAL OPERATING CONDITIONS OF A MOTOR VEHICLE | | | |
| <p>I hereby certify that this <u>PTO-1390 and enclosures.</u></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: <u>October 26, 2005.</u></p> | | | |
| <div style="text-align: right;"> <u>Kathleen Libby</u> (Typed Or Printed Name o Person Mailing Correspondence) </div> <div style="text-align: right;">  (Signature of Person Mailing Correspondence) </div> | | | |
| <div style="text-align: right;"> <u>EV 447720054 US</u> ("Express Mail" Mailing Label Number) </div> | | | |
| <p>Note: Each paper must have its own certificate of mailing.</p> | | | |



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www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/532,450

FIRST NAMED APPLICANT

Christof Maron

ATTY. DOCKET NO.

PC10552US

INTERNATIONAL APPLICATION NO.

PCT/EP03/11571

I.A. FILING DATE

10/17/2003

PRIORITY DATE

10/24/2002

23122

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OCT 24 2005

RatnerPrestia

CONFIRMATION NO. 6864

371 FORMALITIES LETTER



OC000000017286383

Date Mailed: 10/20/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/22/2005
- English Translation of the IA filed on 04/22/2005
- Copy of the International Search Report filed on 04/22/2005
- Preliminary Amendments filed on 04/22/2005
- Oath or Declaration filed on 04/22/2005
- Request for Immediate Examination filed on 04/22/2005
- U.S. Basic National Fees filed on 04/22/2005
- Substitute Specification filed on 04/22/2005
- Priority Documents filed on 04/22/2005
- Specification filed on 04/22/2005
- Claims filed on 04/22/2005
- Abstracts filed on 04/22/2005
- Drawings filed on 04/22/2005

Missing Req Due (2mo) 12-20-05

D

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/532,450 | PCT/EP03/11571 | PC10552US |